



# Human Rights Statement

Respect for human rights is a fundamental value of HASI. We strive to respect and promote human rights in accordance with the United Nations Guiding Principles on Business and Human Rights and the United Nations Global Compact in our relationships with our employees, suppliers and the communities where we operate. This policy is also guided by international human rights principles encompassed by the Universal Declaration of Human Rights, including those contained within the International Bill of Rights and the International Labor Organization's 1998 Declaration on Fundamental Principles and Rights at Work.

We expect our employees and business partners to uphold these ideals in accordance with our Code of Business Conduct and Ethics ("Code of Conduct") and Business Partner Code of Conduct ("Partner Code"), which are available for viewing on our website at [www.hasi.com](http://www.hasi.com). These documents cover a wide range of business practices and procedures, applies to our officers, directors, and employees, as well as to vendors, contractors, joint venture partners, co-investors, and project sponsors. The Code of Business Conduct and Ethics and the Business Partner Code of Conduct set forth our policies and expectations regarding compliance with laws, rules and regulations, anticorruption and bribery prohibitions, insider trading, and conflicts of interest, among others.

Our culture and policies establish standards whereby we do not tolerate violations of basic human rights of life, liberty, and security. We do not condone any inhumane treatment, particularly, sexual harassment, sexual abuse, verbal abuse, mental or physical coercion, corporal punishment, forced labor, child labor, human trafficking, and slavery. Further, as a signatory to the UN Global Compact, we broadly support the practices outlined in the [Children's Rights and Business Principles](#).

This policy applies to HASI, the entities that we own, the entities in which we hold a majority interest and the facilities that we manage. We also expect suppliers to uphold these principles and urge them to adopt similar policies within their own businesses.

We prohibit the use of all forms of forced labor, including prison labor, indentured labor, child labor, bonded labor, military labor, modern forms of slavery, and any form of human trafficking.

We expect all suppliers and business partners to comply with this policy, or have their own policy in effect that is substantially similar.

## Human Rights Assessment, Mitigation, and Remedy

We conduct an annual Human Rights assessment internally. Our approach to this assessment allows us to map Human Rights risks by evaluating business partners and their respective policies. Business partners may be requested to provide an assessment of their respective political and regulatory environments, to ensure compliance with existing Human Rights and Human Capital Management Policies. To the extent that we become aware of violations or the risk of violations including but



not limited to forced labor, child labor, human trafficking, or discrimination against anyone, including employees, contractors, women, children, and indigenous people, we will first engage with the business partner to understand their mitigation or management of these issues before determining the appropriate course of action regarding our business relationship going forward.

## Governance

This statement is periodically reviewed, updated, and approved by senior management, who also assess progress towards targets and suggest adjustments to programs outlined herein.

The Nominating, Governance and Corporate Responsibility Committee of the Board of Directors periodically reviews this statement and reports out to the full Board of Directors any recommendations for modifications.